ATTORNEY'S DOCKET NUMBER U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1390 (REV. 11-2000) 450101-03067

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 ILS.C. 371

U.S. APPLICATION NO. (If known see 37 C.F.R. 1.5)

APPLICANT(S) FOR DO/EO/US	Miruka ISHII, Shingo FUKUDA	

	•	ONCERNING A FILLI	IG UNDER 33 C.B.C. 3/1								
NTERNATIONAL APPLICATION NO. PCT/JP01/01692			INTERNATIONAL FILING DATE 5 MARCH 2001	PRIORITY DATE CLAIMED 17 MARCH 2000							
TITLE OF INVENTION INVESTMENT SYSTEM AND DATA TRANSMITTING/RECEIVING METHOD											
APPLICANT(S) FOR DO/EO/US Miruka ISHII, Shingo FUKUDA											
Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:											
1.	$\boxtimes$	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.									
2.		This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.									
3.	$\boxtimes$	This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).									
4.											
5.	$\boxtimes$	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
		a. is attached hereto (required only if not communicated by the International Bureau). b. is has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US).									
6.	$\boxtimes$	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
7.	$\boxtimes$	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
		a.  are attached hereto (required only if not communicated by the International Bureau). b.  have been communicated by the International Bureau. c.  have not been made; however, the time limit for making such amendments has NOT expired. d.  have not been made and will not be made.									
8.		A English language translation	of the amendments to the claims under	PCT Article 19 (35 U.S.C. 371(c)(3)).							
9.			nventor(s) (35 U.S.C. 371(c)(4)).								
10.		An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(e)(5)).									
Ite	ms 11	to 20 below concern documen	nt(s) or information included:								
11.	$\boxtimes$	An Information Disclosure Sta	atement under 37 CFR 1.97 and 1.98.								
12.		An assignment document for r	ecording. A separate cover sheet in con	npliance with 37 CFR 3.28 and 3.31 is included.							
13.		A FIRST preliminary amendm	nent.	EXPRESS MAIL							
14.		A SECOND or SUBSEQUEN	T preliminary amendment.	Mailing Label Number: <u>EV 001419519 US</u>							
15.		A substitute specification.		Date of Deposit: November 15, 2001							
16.		A change of power of attorney	and/or address letter.	I hereby certify that this paper or fee is being deposited with the United States Postal Service							
17.		A computer-readable form of with PCT Rule 13ter.2 and 35	the sequence listing in accordance U.S.C. 1.821 – 1.825.	"Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is							
18.		A second copy of the published U.S.C. 154(d)(4).	ed international application under 35	addressed to the Assistant Commissioner for Patents and Trademarks, Box PCT Washington, DC 20231.							
19.		A second copy of the English international application unde	language translation of the r 35 U.S.C. 154(d)(4).	(Typed or printed name of person mailing paper or fee)							
20	M	Other items or information:		· ·							

(Signature of person mailing paper or fee)

PCT/RO/101, PCT/IB/301, 304, 308, PCT/ISA/210 37 Sheets of drawings, 1 page Abstract

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U.S. APPLICATION NO.(	1fknown, see 37 C.F.R. 1.50)	INTERNATIO	ONAL APPLICATION NO. CT/JP01/01692		ATTORNEY'S DOCKET NO. 450101-03067			
			CALCULATIONS PTO USE ONLY					
BASIC NATIONAL	FEE (37 CFR 1.492(a)							
An international Search Report not prepared by the EPO or JPO								
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO								
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4					ì			
and all claims satisfied p	y examination fee paid to U provisions of PCT Article	3(1)-(4)	\$100.00					
	RIATE BASIC FEE			\$ 890	0.00			
Surcharge of \$130.00	for furnishing the oath	or declaration later th	nan 🗌 20 🔲 30	\$				
months from the earli	iest claimed priority dat NUMBER FILED	NUMBER EXTRA	RATE	S				
Total Claims	125 - 20 =	105	x \$18.00	\$1890	.00			
Independent Claims	11 - 3 =	8	x \$84.00	\$ 672	.00			
	DENT CLAIM(S) (if a		+ \$280.00	\$				
			CULATIONS =	\$ 3,45	2.00			
Applicant claims	small entity status. Se			\$				
above are reduced by	/ 72.		SUBTOTAL =	\$				
Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				s				
months from the ear	nest claimed priority da	TOTAL NA	TIONAL FEE =	\$				
Fee for recording the enclosed assignments (37 CFR 1.21(h)). The assignment must be				s				
accompanied by an app	propriate cover sheet (37 C	FR 3.28, 3.31). \$40.00 p	S ENCLOSED =	\$ 3,45	52.00			
TOTAL FEES ENCLOSED =				Amount to be		s		
					nded: rged:	s		
			C : 11		gcu.	1 9		
<ul> <li>a.</li></ul>								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.								
SEND ALL CORRESPONDENCE TO: SIGNATURE								
WILLIAM S. FROMMER, ESQ. FROMMER LAWRENCE & HAUG LLP 745 FIFTH AVENUE NEW YORK, NEW YORK 10151  WILLIAM S. FROMMER NAME								
Dated: November 1								